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**PETITION TO ACCEPT UNAVOIDABLY DELAYED PAYMENT OF  
MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378(b))**Docket Number (Optional)  
11028US

Mail to: Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450  
Fax: (571) 273-8300

**RECEIVED**  
**SEP 20 2010**  
**OFFICE OF PETITIONS**

NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282.

Patent Number: 6648330Application Number: 10071093Issue Date: 11/18/03Filing Date: 02/11/02

CAUTION: Maintenance fee (and surcharge, if any) payment must correctly identify: (1) the patent number (or reissue patent number, if a reissue) and (2) the application number of the actual U.S. application (or reissue application) leading to issuance of that patent to ensure the fee(s) is/are associated with the correct patent. 37 CFR 1.366(c) and (d).

Also complete the following information, if applicable:

The above-identified patent:

☐ is a reissue of original Patent No. \_\_\_\_\_ original issue date \_\_\_\_\_;  
original application number \_\_\_\_\_  
original filing date \_\_\_\_\_

☐ resulted from the entry into the U.S. under 35 U.S.C. 371 of international application  
\_\_\_\_\_ filed on \_\_\_\_\_

**CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is

(1) being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 OR

(2) transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

\_\_\_\_\_  
Date\_\_\_\_\_  
Signature\_\_\_\_\_  
Typed or printed name of person signing Certificate

[Page 1 of 4]

This collection of information is required by 37 CFR 1.378(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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## 1. SMALL ENTITY

☒ Patentee claims, or has previously claimed, small entity status. See 37 CFR 1.27

## 2. LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

☐ Patentee is no longer entitled to small entity status. See 37 CFR 1.27(g)

## 3. MAINTENANCE FEE (37 CFR 1.20(e)-(g))

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The appropriate maintenance fee must be submitted with this petition, unless it was paid earlier.

NOT Small Entity			Small Entity		
Amount	Fee	(Code)	Amount	Fee	(Code)
<input checked="" type="checkbox"/> \$ _____	3 ½ yr fee	(1551)	<input checked="" type="checkbox"/> \$ 490	3 ½ yr fee	(2551)
<input type="checkbox"/> \$ _____	7 ½ yr fee	(1552)	<input type="checkbox"/> \$ _____	7 ½ yr fee	(2552)
<input type="checkbox"/> \$ _____	11 ½ yr fee	(1553)	<input type="checkbox"/> \$ _____	11 ½ yr fee	(2553)

MAINTENANCE FEE BEING SUBMITTED \$ 490

## 4. SURCHARGE

The surcharge required by 37 CFR 1.20(i)(1) of \$ 700 (Fee Code 1557) must be paid as a condition of accepting unavoidably delayed payment of the maintenance fee.

SURCHARGE FEE BEING SUBMITTED \$ 700

## 5. MANNER OF PAYMENT

☒ Enclosed is a check for the sum of \$ 1190☐ Please charge Deposit Account No. \_\_\_\_\_ the sum of \$ \_\_\_\_\_☐ Payment by credit card. Form PTO-2038 is attached.

## 6. AUTHORIZATION TO CHARGE ANY FEE DEFICIENCY

☐ The Director is hereby authorized to charge any maintenance fee, surcharge or petition fee deficiency to Deposit Account No. \_\_\_\_\_

## 7. OVERPAYMENT

As to any overpayment made, please

☒ Credit to Deposit Account No. 040752

OR

☐ Send refund check

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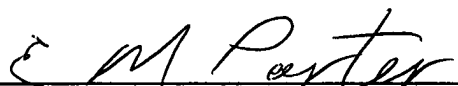
## WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

## 8. SHOWING

The enclosed statement will show that the delay in timely payment of the maintenance fee was unavoidable since reasonable care was taken to ensure that the maintenance fee would be paid timely and that this petition is being filed promptly after the patentee was notified of, or otherwise became aware of, the expiration of the patent. The statement must enumerate the steps taken to ensure timely payment of the maintenance fee, the date and the manner in which the patentee became aware of the expiration of the patent, and the steps taken to file the petition promptly.

## 9. PETITIONER(S) REQUESTS THAT THE DELAYED PAYMENT OF THE MAINTENANCE FEE BE ACCEPTED AND THE PATENT REINSTATED.



Signature(s) of Petitioner(s)

September 9, 2010

Date

Michael Porter

Typed or printed name(s)

Registration Number, if applicableB185 Harbord Street

Address

Telephone NumberToronto, Ontario M5S 1H5 CANADA

Address

## ENCLOSURES:

- ☒ Maintenance Fee Payment  
☐ Statement why maintenance fee was not paid timely  
☒ Surcharge under 37 CFR 1.20(i)(1) (fee for filing the maintenance fee petition)  
☐ Other:

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37 CFR 1.378(d) states: "Any petition under this section must be signed by an attorney or agent registered to practice before the Patent and Trademark Office, or by the patentee, the assignee, or other party in interest."

E M Porter  
Signature

September 9, 2010  
Date

Michael Porter  
Type or printed name

\_\_\_\_\_  
Registration Number, if applicable

#### STATEMENT

(In the space below, please provide the showing of unavoidable delay recited in paragraph 8 above.)

In late March, 2007, Petitioner received a letter from Dennison Associates regarding the payment of the maintenance fee for the U.S. Patent. In April, 2007, Petitioner met with Mr. John Jeffrey of Dennison Associates, Petitioner's agent, and advised him that due to a shortage of funds, Petitioner would be unable to pay the maintenance fee. At that time, Petitioner also misunderstood the maintenance fee requirements for U.S. Patents and assumed that this maintenance fee would be an annual fee similar to the Canadian Patent. Subsequent to that meeting, Petitioner moved from the Brunswick Street address and further correspondence from Mr. Jeffrey was returned. A copy of this correspondence and returned envelope is attached. As Petitioner did not have any telephone service at that time or subsequent, Mr. Jeffrey was unable to correspond further with Petitioner.

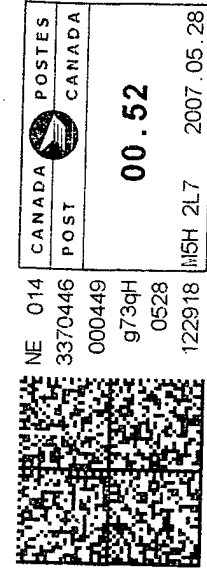
In March, 2010, Petitioner contacted Mr. Jeffrey in an attempt to sell or license the patent and was informed by Mr. Jeffrey that the patent had expired for non-payment of the maintenance fee. Petitioner was also advised of the procedure to petition for reinstatement and the costs associated with the petition. At that time, Petitioner did not have the funds available to go forward with the request and was only able to raise them in late July, 2010. Since that time Petitioner has taken the steps to promptly file this petition.

*(Please attach additional sheets if additional space is needed)*

DENNISON ASSOCIATES  
PATENTS, TRADE MARKS, COPYRIGHTS  
133 RICHMOND STREET WEST, SUITE 301  
TORONTO, CANADA  
M5H 2L7

Mr. Michael Porter  
407 Brunswick Avenue  
Apt. # 301  
TORONTO, Ontario  
M5R 2Z2

RETURN TO SENDER  
unsolicited mail



MOVED / UNKNOWN // DEMENAGE / INCONNU  
RETURN TO SENDER  
RENVOI A L'EXPEDITEUR  
M5H 2L7

# DENNISON ASSOCIATES

DOUGLAS S. JOHNSON, Q.C., B.A.Sc.  
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TONY BORTOLIN, B.A.A., LL.B.  
JUDY WONG, B.Sc.(HONS.), LL.B.

## PATENT AND TRADE MARK AGENTS

SUITE 301  
133 RICHMOND STREET WEST  
TORONTO, ONTARIO, CANADA  
M5H 2L7

PATENTS  
TRADE MARKS  
INDUSTRIAL DESIGNS  
COPYRIGHTS

TELEPHONE: (416) 368-8313  
FACSIMILE: (416) 368-1645  
E-Mail: [amting@patentrite.com](mailto:amting@patentrite.com)

YOUR REF:

OUR REF: JJ-11028US

May 28, 2007

Mr. Michael Porter  
407 Brunswick Avenue  
Apt. # 301  
Toronto, Ontario  
M5R 2Z2

Dear Michael:

Re: United States Patent No. 6,648,330 B2  
Title: **THREE DIMENSIONAL PUZZLE**  
Patentee: Michael Porter


Further to our letter dated March 30, 2007 and in view of your instructions that we no longer act as your agent, we have not paid the first maintenance fee, which was due May 18, 2007. This patent will now be abandoned, however, it can be reinstated on payment of the maintenance fee and a penalty by November 18, 2007.

I understand that you will be looking after the maintenance fee. Here is the United States Maintenance Fee address:

United States Patent and Trademark Office  
P.O. Box 371611  
Pittsburgh, PA 15250-1611  
U.S.A.

We have now closed our file on this matter.

Yours very truly,  
DENNISON ASSOCIATES



John Jeffrey

JJ/at